

AP7 Rec'd PTO 22 MAR 2006

Form PTO-1390 (REV.11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEYS DOCKET NUMBER <b>AN04003</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN) <b>10/573014</b> NOT APPLICABLE	
INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/010773</b>		INTERNATIONAL FILING DATE <b>September 24, 2004</b>		PRIORITY DATE CLAIMED <b>September 25, 2003</b>	
TITLE OF INVENTION <b>COMPLEX COACERVATE CORE MICELLES AS SURFACE MODIFICATION OR SURFACE TREATMENT</b>					
APPLICANT (S) FOR DO/EO/US: <b>Martinus, Abraham COHEN STUART, Stefan VAN DER BURGH, Reint, Gerrit FOKKINK and Arie DE KREIZER</b>					
Applicant herewith submits the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and 24 indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c) (2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U S C 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U S C 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>unsigned</b> 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210) <b>Items 13 to 18 below concern document(s) or information included:</b> 13. <input type="checkbox"/> An information Disclosure Statement under 37 CFR 1 97 and 1 98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3 28 and 3 31 is included. 15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. 16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail. 23. <input checked="" type="checkbox"/> Other items or information: <input type="checkbox"/> Form PCT/IB/308 <input type="checkbox"/> Form PCT/IB/332 <input type="checkbox"/> Form PCT/ISA/210 French version <input checked="" type="checkbox"/> Form PCT/ISA/210 English Version <input type="checkbox"/> PCT/ISA/237 <input checked="" type="checkbox"/> PCT/EP2004/010773 as published					

EXPRESS MAIL LABEL NUMBER : **EV 689313370 US**

<b>NOT APPLICABLE</b> <span style="font-size: 24pt; font-weight: bold;">10/573014</span>		<b>PCT/EP2004/010773</b>		<b>AN04003</b>	
<b>24. The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> <input checked="" type="checkbox"/> a) Basic National Fee..... <b>\$300.00</b>  <input checked="" type="checkbox"/> b) examination fee ..... <b>\$200.00</b>  <input checked="" type="checkbox"/> c) Search Fee..... <b>\$500.00</b>  <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets The fee is <b>\$250.00</b> for each additional 50 sheets of paper or fraction thereof..... <b>\$0.00</b> Total of above calculation..... <b>\$1000.00</b>				<b>CALCULATIONS PTO ONLY</b>	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$1000.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$0.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	25 - 20 =	5	X \$50.00	<b>\$300.00</b>	
Independent Claims	2 - 3 =	0	X \$200.00	<b>\$0.00</b>	
Multiple Dependent Claims (Check if applicable)			+ \$360.00	<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$300.00</b>	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.127). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$300.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,300.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable)			<input type="checkbox"/>	<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,300.00</b>	
				<b>Amount to be:</b>	<b>\$</b>
				<b>refunded</b>	
				<b>charged</b>	<b>\$</b>

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **18-1171** in the amount of **\$1,300.00** to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **18-1171**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

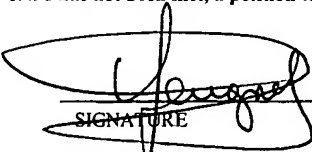
SEND ALL CORRESPONDANCE TO

**Jean-Louis SEUGNET**

**RHODIA INC.**

**8 Cedar Brook Drive**

**CN 7500, CRANBURY, NJ 08512-7500**



\_\_\_\_\_  
SIGNATURE

**Jean-Louis SEUGNET**

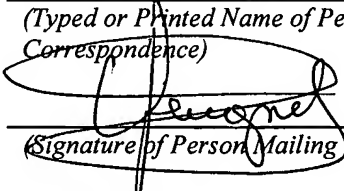
\_\_\_\_\_  
NAME

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\_\_\_\_\_  
REGISTRATION NUMBER

**March 22, 2006**

\_\_\_\_\_  
DATE

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. AN04003
Applicant(s): <b>Martinus, Abraham COHEN STUART, Stefan VAN DER BURGH, Reint, Gerrit FOKKINK and Arie DE KREIZER</b>			
Serial No. National Phase of PCT/EP2004/010773 Intern. Filing date September 24, 2004	Filing Date To be assigned	Examiner To be assigned	Group Art Unit To be assigned
Invention: <b>COMPLEX COACERVATE CORE MICELLES AS SURFACE MODIFICATION OR SURFACE TREATMENT</b>			
<p>I hereby certify that this</p> <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"> <input checked="" type="checkbox"/> Transmittal letter sending documents referred to herein  <input checked="" type="checkbox"/> Specification with Abstract ( 40 pages)  <input checked="" type="checkbox"/> Drawings ( 4 pages)  <input checked="" type="checkbox"/> Declaration/Power of Attorney (<input type="checkbox"/> signed <input checked="" type="checkbox"/> unsigned),  <input type="checkbox"/> Information Disclosure Statement with copies of references  <input checked="" type="checkbox"/> Preliminary Amendment ( 11 pages)  <input checked="" type="checkbox"/> PCT/EP2004/010773 as published  <input type="checkbox"/> Signed Assignment and Recordation Sheet  <input checked="" type="checkbox"/> Other:           <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <input type="checkbox"/> Form PCT/IB/308  <input type="checkbox"/> PCT/ISA/237           </div> <div> <input checked="" type="checkbox"/> Form PCT/ISA/210 English Version  <input type="checkbox"/> Form PCT/ISA/210 French Version           </div> </div> </div> </div>			
<p><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450, on:</p> <div style="text-align: center; margin-top: 10px;"> <u>March 22, 2006</u>  <i>Date</i> </div> <div style="text-align: center; margin-top: 20px;"> <u>Jean-Louis SEUGNET</u>  <i>(Typed or Printed Name of Person Mailing Correspondence)</i>    <i>(Signature of Person Mailing Correspondence)</i>  <u>EV 689313370 US</u>  <i>("Express Mail" Mailing Label Number)</i> </div>			
<b>Note: Each Paper must have its own certificate of mailing.</b>			